

Investor Complaints Data to be displayed by Stock Brokers

Data for Month Ending MAY 2022								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending **
1	November, 2021	NIL	NIL	NIL	NIL
2	December, 2021	NIL	NIL	NIL	NIL
3	January, 2022	NIL	NIL	NIL	NIL
4	February, 2022	0	1	0	1
5	March, 2022	1	0	1	0
6	April, 2022	0	0	0	0
7	May, 2022	0	0	0	0
	Grand Total	1	1	1	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any

Trend of annual disposal of complaints					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	0	2	2	0
5	2021-22	0	2	2	0
	Grand Total	0	4	4	0